



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/765,229  
Applicants: Koujirou SEKINE et al  
For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL  
INTEGRATED DEVICE  
Confirmation No.: 7378  
Customer No.: 24367  
Docket No.: 15162/03060  
Filed: January 18, 2001  
Group Art Unit: 2874  
Examiner: Michael J. Stahl

**MAIL STOP AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **MAIL STOP AF**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 15, 2003

Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered  
Representative

Signature

September 15, 2003

Date of Signature

**AMENDMENT UNDER 37 C.F.R. § 1.116**

In response to the Office Action of June 20, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

Please amend the above-identified application as follows:



AF/28/H/11  
R

## RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

ATTORNEY DOCKET NO. 15162/03060		APPLICATION NO. 09/765,229	
FILING DATE January 18, 2001	CONFIRMATION NO. 7378	CUSTOMER NO. 2436	EXAMINER Michael J. Stahl
INVENTOR(S): Koujirou SEKINE et al			
TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE			

RECEIVED  
U.S. PATENT & TRADEMARK OFFICE  
SEP 22 2003  
EXAMINER 2600

**MAIL STOP AF**  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTED HEREWITH FOR THE ABOVE IDENTIFIED  
PATENT APPLICATION IS:

- (A) A response to the Office Action dated: June 20, 2003  
 (B) A Petition for Extension of Time  
 for 1 month  for 2 months  for 3 months;  
 A Petition for Extension of Time, having been previously filed,  
 for 1 month  for 2 months  for 3 months  
 (C) A Notice of Appeal. \$  
 (D) An Appellant's Brief on Appeal. \$  
 (E) Other: . \$  
 (F) A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27  
 Small entity status under 37 CFR § 1.27 has been previously established  
 The claims fee, if any, has been calculated as shown below

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **MAIL STOP AF**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

September 15, 2003

Date of Deposit

Douglas A. Sorensen

Name of Applicant, Assignee, or Registered Representative

Signature

September 15, 2003

Date of Signature

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	30	MINUS	20	10
INDEP.		MINUS		

FIRST PRESENTATION OF MULTIPLE DEP. CLAIM

SMALL ENTITY	
RATE	ADD'L FEE
x \$9	\$
x \$42	
+ \$140	
TOTAL ADD'L FEE	\$ 0.00

LARGE ENTITY	
RATE	ADD'L FEE
x \$18	\$ 180.00
x \$84	
+ \$280	
TOTAL ADD'L FEE	\$ 180.00

OR

- Please charge \$180.00 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes  
 the amount of \$180.00 for the claims fee calculated above AND/OR  
 the amount of \$ for the fee for item(s)  (B)  (C)  (D)  (E)  (F)  
 Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.  
 A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

September 15, 2003  
 SIDLEY AUSTIN BROWN & WOOD LLP  
 717 N. Harwood, Suite 3400  
 Dallas, Texas 75201  
 Main: (214) 981-3300  
 Direct: (214) 981-3482  
 Facsimile: (214) 981-3400

By:

Douglas A. Sorensen  
 Attorney for Applicants  
 Registration No. 31,570